

Status of Chemical Substance Management Policy in Japan

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Management among China, Japan, Korea

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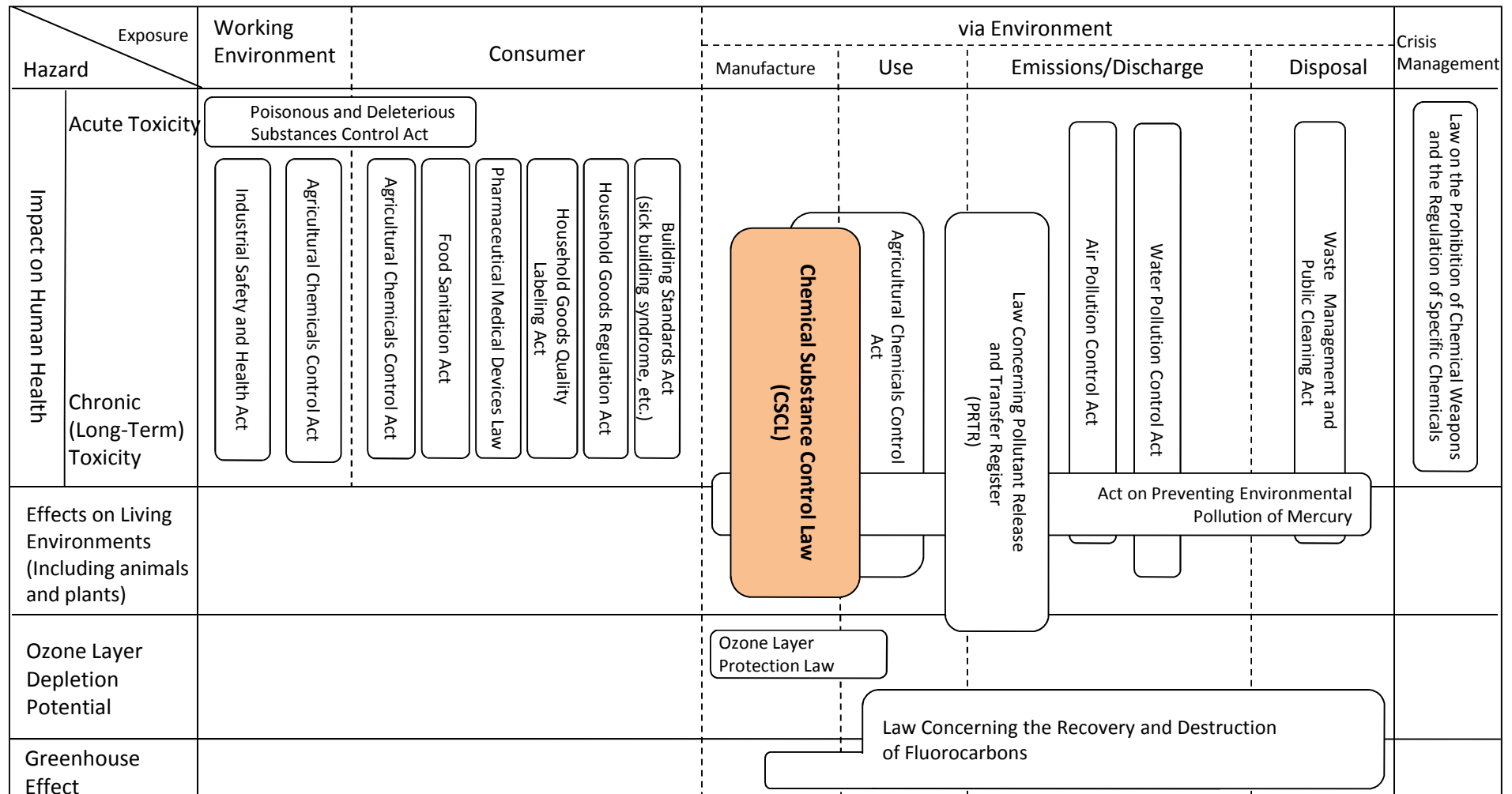
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① Outline of the Chemical Substances Control Law (CSCL)

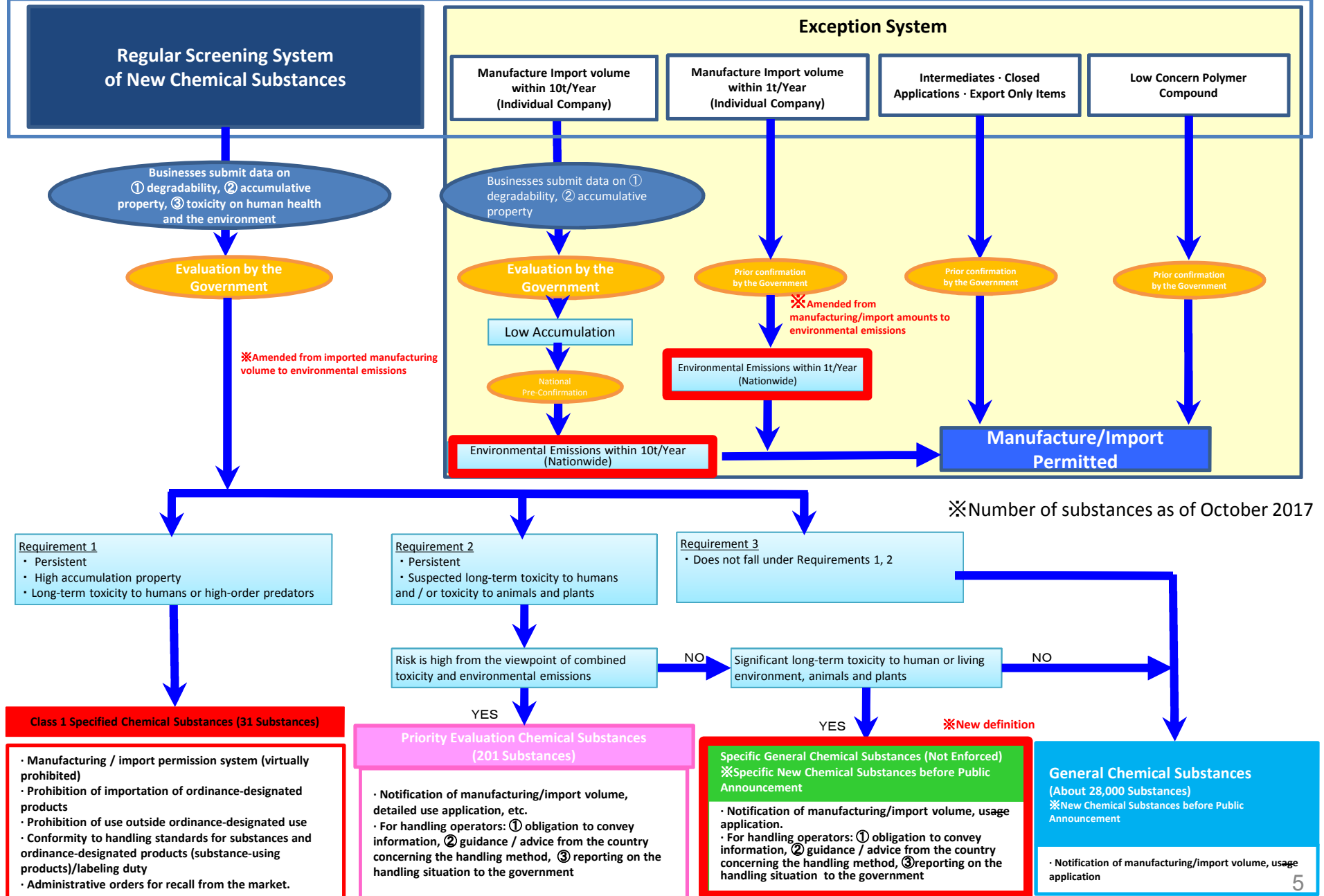
Role of the Chemical Substances Control Law in Japan's Chemical Substance Control

- In Japan, chemical substances are regulated by a variety of laws according to their exposure routes and the life cycle stages, etc.
- The objective of CSCL is to evaluate the long-term toxicity to human via the environment, and the effect on the living environment and ecosystem.



Outline of the Preliminary Evaluation System for New Chemical Substances Under the CSCL

New Chemical Substances



Screening Assessment of General Chemical Substances etc. - Risk Assessment

General Chemical Substances (About 28,000 Substances)
 (Existing chemical substances/newly evaluated chemical substances/**specified general (new) chemical substances, etc.**)

Notification of Manufacturing/Import volume (more than 1 ton/year), use application, etc. by business operator

Screening Assessment by the government

Risk is high from the viewpoint of combined toxicity and environmental emissions

Priority Evaluation Chemical Substances (201 Substances)

Risk Assessment by the government

If necessary based on Risk Assessment results

Hazard Information, handling condition report request

If necessary based on Risk Assessment results

Hazard Survey Instructions

Risk to human or living environment, animals and plants in considerably wide areas

Class II Specified Chemical Substances (23 Substances)

- Notification of manufacture, import (scheduled and actual), volume, use application etc.
- Order for changing the planned manufacturing/import volume as necessary
- Publication of substances and handling technical guidelines for designated products
- Labelling obligation of designated products specified by the government

※Number of substances as of October 2017

Persistent and high accumulative property, and long-term toxicity to humans or high-order predators is unknown

Monitoring Chemical Substances (39 Substances)

- Notification of manufacturing, import volume, detailed use application, etc.
- Obligation to communicate information to handling businesses

If necessary

Handling Condition Report Request

If necessary

Hazard Survey Instructions

Long-term toxicity to humans or high-tier predators

Class I Specified Chemical Substances (31 Substances)

- Manufacturing / import permission system (virtually prohibited)
- Prohibition of importation of ordinance-designated products
- Prohibition of use outside ordinance-designated use
- Conformity to handling standards for substances and ordinance-designated products (substance-using products)/labeling obligation
- Administrative orders for recall from the market

② Evaluation & Confirmation of New Chemical Substances

Evaluation and Confirmation of New Chemical Substances

With regard to manufacturing or importing new chemical substances that have never been manufactured or imported in Japan, the chemical substance are evaluated and judged prior to the manufacture and import for the following properties, based on notification from the manufacturer/ importer.

- ① Whether it is difficult for chemical changes to occur under natural processes (degradability)
- ② Whether it is easily accumulated in living organisms (bioaccumulation) [here and above, Ministry of Economy, Trade and Industry]
- ③ In case of continuous ingestion, whether there is a risk of damage to human health (long-term toxicity to humans) [Ministry of Health, Labour and Welfare]
- ④ Whether there is a risk of interfering with habitats or the growth of animals and plants (eco-toxicity) [Ministry of the Environment]

Judgment of New Chemical Substances (Article 4)

Regular Review and Judgments on New Chemical Substances (FY2016 Results)

| No. of Reviews | No. of Judgments | | | | | |
|----------------|------------------|-------|-------|-------|-------|-------|
| | No. 1 | No. 2 | No. 3 | No. 4 | No. 5 | No. 6 |
| 202 | 0 | 9 | 6 | 43 | 171 | 0 |

※Regular new substances based on polymer flow schemes, as well as those subjected only to the degradation test, are also included.

- ① Items falling under any of the items of Article 2, Paragraph 2 (Class I Specified Chemical Substances) ... **No. 1**
- ② When it is persistent in the degradation test, and it is judged that it is not highly accumulative by the accumulation test or the Pow measurement test ... **No. 2 - No. 5**
No. 2: Human health toxicity yes, eco-toxicity no
No. 3: Human health toxicity no, eco-toxicity yes
No. 4: Human health toxicity yes, eco-toxicity yes
No. 5: Human health toxicity no, eco-toxicity no
- ③ When judged to be of good degradability by the degradation test ... **No. 5**
- ④ When it is unclear if it falls under No. 1 through No. 4 ... **No. 6**

Review and Judgment on Low Production Volume New Chemical Substances (below 10 tons/year nationwide) (FY2016 results)

| No. of Reviews | No. of Judgments |
|----------------|------------------|
| 155 | 155 |

※Low production volumes for new chemical substances based on polymer flow schemes are also included.

Preliminary Review and Prior Confirmation of New Chemical Substances

- Able to manufacture and import by notification of new chemical substances and undergoing the regular preliminary examination [Regular New]
- Regardless of the regular notification, there are cases where substances can be manufactured/imported by prior notification/confirmation. (Special case system, notification exemption system). [New Low Production Volume, New Small Volume, Low Concern Polymer, Intermediate, etc.]
- While the Japanese chemical industry shifts to small-quantity multi-varieties, on the premise of preventing environmental pollution from chemical substances, we are adopting a rational system design that takes into consideration small-volume and multi-product industries. Depending on each procedure, information such as on hazardous property to be submitted to the government is different.

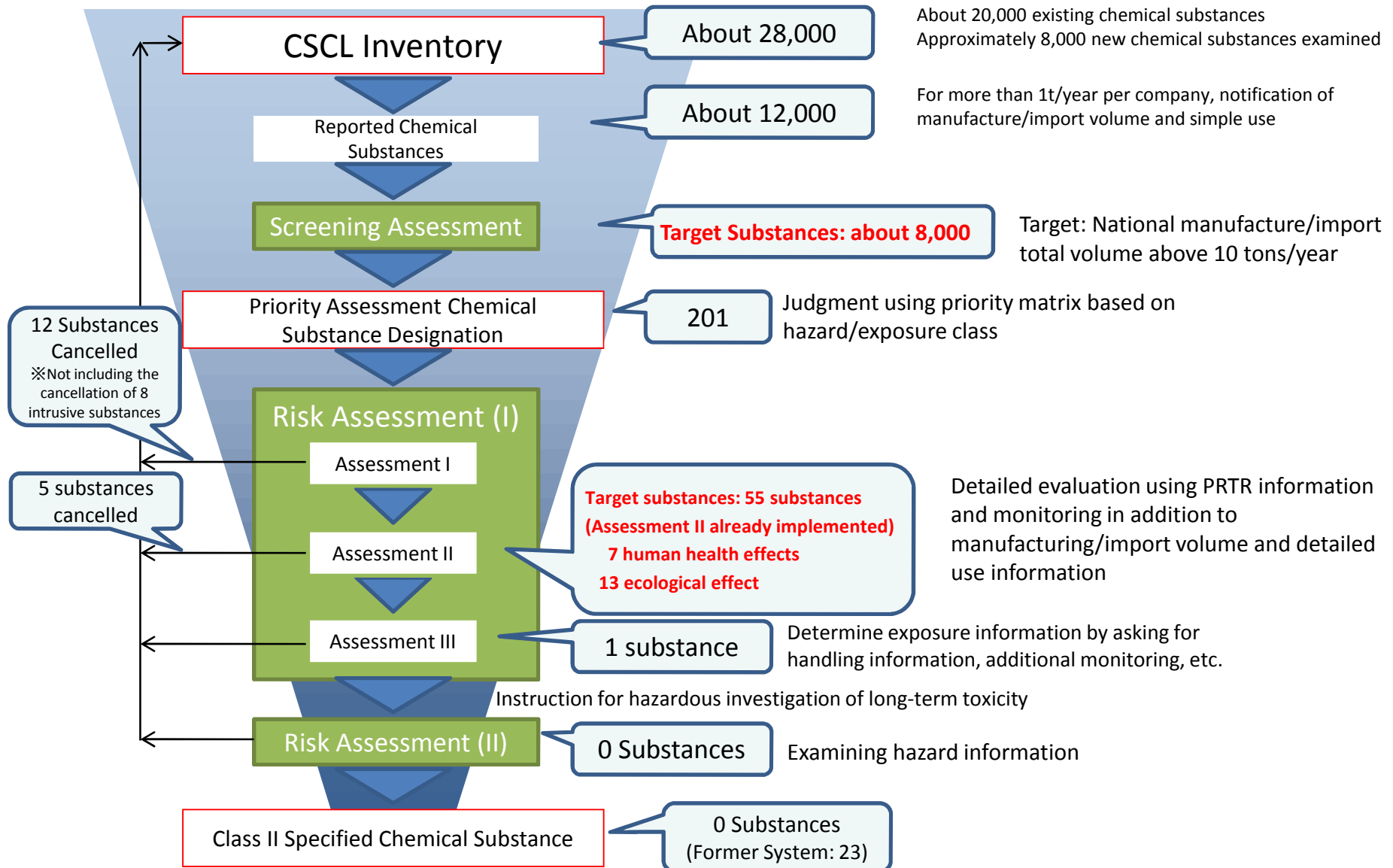
| Procedure Type | Article | Procedure | Hazard Data Submitted at Notification | Other Submitted Materials | Volume Upper Limit | Volume Adjustment | Application Frequency | FY 2016 Results |
|---|-------------------------------|---|--|--|-----------------------|-------------------|--|-----------------|
| Regular New | Article 3, paragraph 1 | Notification →Judge | Degradability/accumulation/human health and ecological effects | Usage/planned volume, etc. | No | No | 10 times/year | 202 |
| New Small Volume | Article 3, paragraph 1, No. 5 | Application →Confirm | — | Usage/planned volume, etc. | Within 1t Nationwide | Yes | 4 times/year | 35,841 |
| New Low Production Volume | Article 5, paragraph 1 | Notification →Judge Application →Confirm | Degradability/accumulation (If there is data on human health /ecological effect, submit at time of report.) | Usage/planned volume, etc. | Within 10t nationwide | Yes | Notification: 10 times/year Application: Any time (Extension once /year) | 1,678 |
| Low Concern Polymer | Article 3, paragraph 1, No. 6 | Application →Confirm | — | Molecular weight/physicochemical stability test data, etc. | No | No | Any time | 28 |
| Intermediate Small Volume Intermediate, etc. | Article 3, paragraph 1, No. 4 | Application →Confirm | — | Handling method/drawing that show facility state, etc. | No | No | Any time | 124 |
| | | | | (Simplification) | Within 1t per company | No | Any time | 180 |

③ Risk Assessment of Existing Chemical Substances

Screening/Risk Assessment in CSCL

※As of October 2017

Risk Assessment by Phase



Screening Assessment

○ Screening assessment (chemical substance selection where the risk can not be said to be sufficiently small) is conducted by assigning exposure classes (magnitude of estimated emissions) and hazard class (degree of harmfulness) for each general chemical substance using the following matrix.

Human Health

Set the toxicity class from hazard information* on general toxicity, reproductive developmental toxicity, mutagenicity, carcinogenicity

Ecosystem

Set the harmfulness class from the hazard information* related to eco-toxicity test data (algae, crustaceans, fish) of aquatic organisms

*Information reported or submitted by the CSCL, existing inspection information carried out by the government, document information collected by the government, arbitrary report information from the business operator, etc.

Total Estimated Environmental Emissions

- Report information on manufacturing/import volume, etc.
- Estimate environmental emissions from decomposition judgment results, set exposure class (update yearly)

| Exposure Class | Environmental Emissions Total Estimate |
|----------------|--|
| Class 1 | above 10,000 |
| Class 2 | 1,000 – 10,000 tons |
| Class 3 | 100 – 1000 tons |
| Class 4 | 10 – 100 tons |
| Class 5 | 1-10 tons |
| Outside Class | Less than 1 ton |

| | | Hazard Class | | | | | |
|----------------|-------|-----------------|---|---|---|-----|---|
| | | Strong ← → Weak | | | | | |
| | | 1 | 2 | 3 | 4 | Out | |
| Exposure Class | Large | 1 | H | H | H | H | O |
| | | 2 | H | H | H | M | O |
| | | 3 | H | H | M | M | O |
| | Small | 4 | H | M | M | L | O |
| | | 5 | M | M | L | L | O |
| | | O | O | O | O | O | O |

Cannot judge that the risk is sufficiently low

Priority Assessment Chemical Substances

General Chemical Substance

For the priorities "Medium" and "Low", they will be designated as a Priority Assessment Chemical Substance as necessary by expert judgment.

Risk Assessment (1st)

The Risk Assessment (1st) is composed of 3 stages – Assessment I, II, III

Assessment I

Hazard assessment is performed using the same information[※] as at screening assessment, and exposure assessment is conducted using only notification information such as manufacture/import volume. Through this, priorities for implementing Assessment II are determined.

※Information reported or submitted by the CSCL, existing inspection information carried out by the government, document information collected by the government, arbitrary report information from the business operator, etc.

Assessment II

For hazard assessment, hazard information is additionally collected, and exposure assessment is subject to risk assessment by increasing the scope of application. We also make use of existing PRTR data and monitoring data. Through these measures, risk assessment is carried out, and designation of Class II Specified Chemical Substances, or the determination of a hazard assessment is immediately made. If there is no optimal decision, Assessment III is applied.

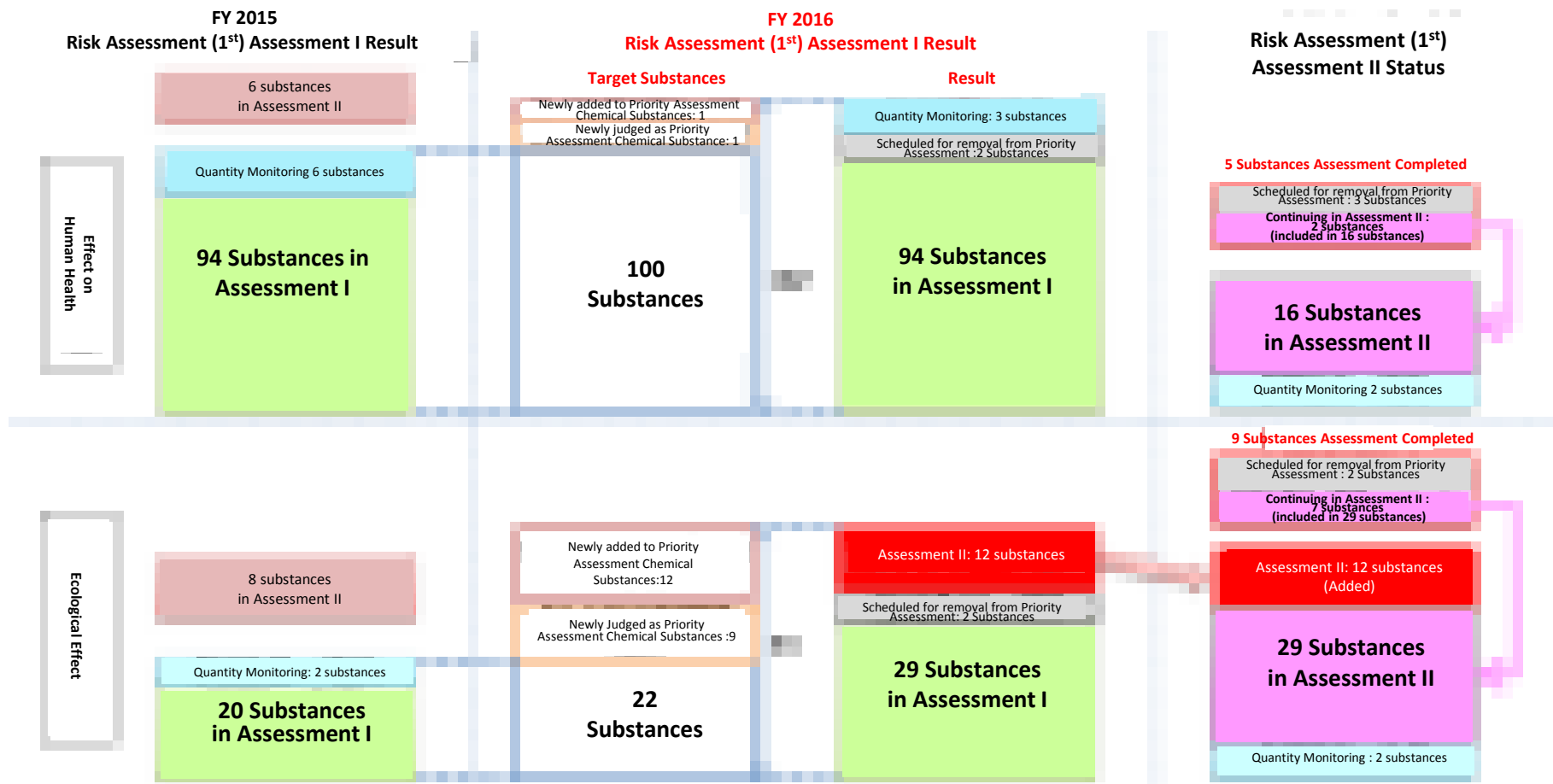
Assessment III

We will also refine risk assessment using handling information and additional monitoring data. The necessity of direction of hazard investigation is determined.

Risk Assessment (1st) Assessment I Result of Priority Assessment Chemical Substances

As a result of Risk Assessment (1st) Assessment I in FY 2016, **there are 13 substances✕ (ecological effect) that were initiated for the Risk Assessment (1st) Assessment II in FY 2016.**

✕Of those, 2 substances have already been included in Assessment II, and 11 substances have been newly added to Assessment II.



✕As of October 2017

Status of Risk Assessment II (1st) for Priority Assessment Chemical Substances

- Risk Assessment II (1st) for Priority Assessment Chemical Substances in FY 2016 was implemented for 8 substances by March 2017, and 1 substance by June, for a total of 19 substances so far (7 human health effect, and 13 for ecological effect^{※1}).
- Based on the evaluation so far, the status of 6 substances^{※2} as Priority Assessment Chemical Substances have been cancelled.^{※2}
- In the future, Risk Assessment II will be implemented on 8 substances in FY 2017 and 10 in FY 2018.

※1: Excludes hydrogen peroxide (6.17.2016) which reported the progress status of risk assessment from the viewpoint of ecological impact ※2: Includes cancelled substances from the end of FY 2016

| Assessment Judgment Date | Substance Name | Evaluation | Evaluation Result and Future Measures (Outline) |
|--------------------------|---|---------------|---|
| 6.17.2016 | 1,2-dichloropropane | Human Health | <ul style="list-style-type: none"> · Not applicable as a Class II Specified Chemical Substance in the current handling. · Designation of Priority Assessment Chemical Substances has been cancelled. |
| | Naphthalene | Ecology | <ul style="list-style-type: none"> · Not applicable as a Class II Specified Chemical Substance in the current handling. · Collect hazard info on human health effects in the future. |
| | Bromomethane (aka methyl bromide) | Ecology | Same as above |
| 1.31.2017 | Dichloromethane | Human Health | <ul style="list-style-type: none"> · Not applicable as a Class II Specified Chemical Substance in the current handling. · Designation of Priority Assessment Chemical Substances has been cancelled. |
| | Benzyl benzoate | Ecology | <ul style="list-style-type: none"> · No sufficient information to judge the suitability of Class II Specified Chemical Substances. · Monitoring is enforced. |
| | Hydrazine | Human/Ecology | <ul style="list-style-type: none"> · As there are several uncertain aspects in regards to exposure assessment, proceed to Assessment III and conduct investigation. |
| 3.24.2017 | Xylene | Ecology | <ul style="list-style-type: none"> · Could not obtain exposure assessment results sufficient for the basis of judgment for Assessment II. · Perform monitoring after lowering the detection lower limit value. |
| | Zinc pyrethrin | Ecology | <ul style="list-style-type: none"> · Could not obtain exposure assessment results sufficient for the basis of judgment for Assessment II. · In the future, examine the method of risk assessment and monitoring after reviewing the relationship with copper pyrethrin. |
| 6.25.2017 | 1,3,5-trichloro-1,3,5-triazinane-2,4,6-trione | Ecology | <ul style="list-style-type: none"> · Could not obtain exposure assessment results sufficient for the basis of judgment for Assessment II. · In the future, collect actual measured data from environmental monitoring of isocyanuric acid. |

④ Amendment of CSCL

Evaluation System for New Chemical Substances (Current System)

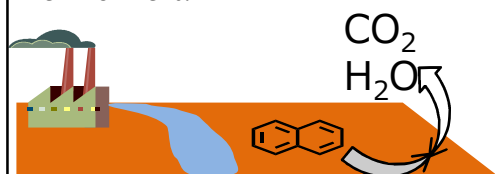
1: Regular New Evaluation System

- Those who intend to manufacture or import new chemical substances shall notify the government in advance.
- The government evaluates the properties of the newly notified chemical substance (degradability, accumulation, whether it has toxicity to human health/ecology) and regulates according to the result.

Test Items for the CSCL Regular New Evaluation System

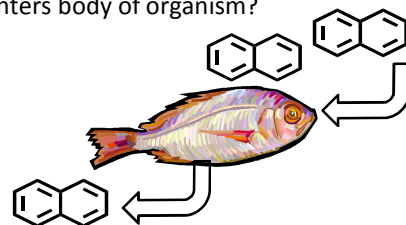
① Info related to degradability

Does substance degrade in natural environment?



② Info related to accumulativeness

Does substance accumulate easily if it enters body of organism?



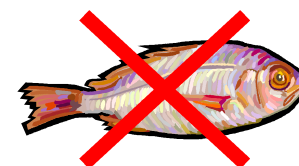
③ Effect on Human Health

Is substance toxic to humans?



④ Ecological Effect

Is substance toxic to living organisms?



2: Special Evaluation Scheme

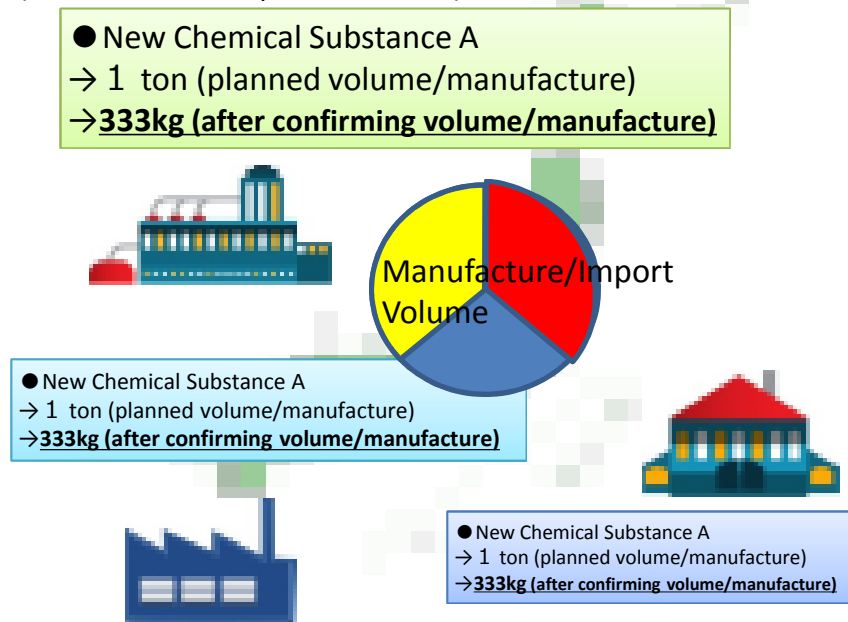
- In regards to new chemical substances whose manufacturing/import volume is below a certain amount, as a special measure, some or all of the above-mentioned evaluations will be exempted, and manufacturing and importing can be carried out after the volume is confirmed.
- A similar special evaluation exception scheme exists in Europe and the United States, but due to the existence of original domestic gross amount regulation in Japan, adjustment of the confirmation volume by the government may occur occasionally.

| | Hazardous Items Requiring Evaluation | Individual Company's Maximum Volume | Nationwide Maximum Volume |
|--------------------------------------|--|-------------------------------------|-----------------------------|
| New Scheme for Small Volume | None | 1 ton | 1 ton |
| | | (Manufacture/Import Amount) | (Manufacture/Import Amount) |
| New Scheme for Low Production Volume | Degradability/Accumulativeness (No Toxicity Required) | 10 tons | 10 tons |
| | | (Manufacture/Import Amount) | (Manufacture/Import Amount) |

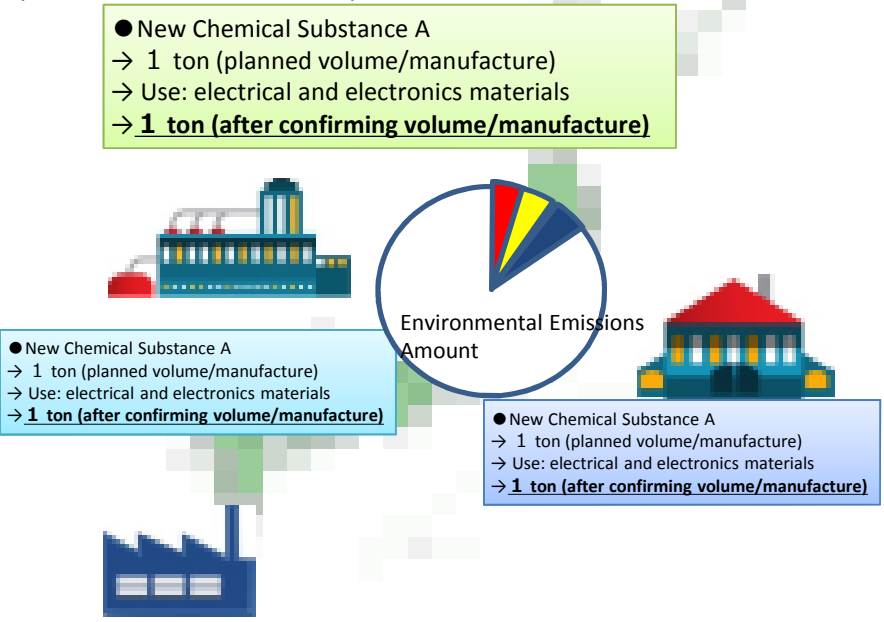
Proposed Review of the Special Evaluation Scheme

- Utilize the "emission factor" for each use, and review it as a more rational regulatory system on the premise of ensuring safety.
- Specifically, the domestic gross amount regulation of the special evaluation exemption scheme from the manufacture/import volume to the environmental emissions (manufacture/import volume multiplied by the emission factor for each use).

Before Change: Domestic Gross System
(Manufactured/Imported Volume)



After Change: Domestic Gross System
(Environmental Emissions)



• With an emission coefficient of 0.0012 for electric and electronic materials, the volume of production is 1 ton, the environmental discharge amounts to 1.2 kg, and the total discharge of the three companies is 3.6 kg, so there is no need to adjust the quantity.

<Expected Result>

- ✓ Utilizing emission factors that take into account its use, volume adjustment will decrease, and production and import volume will increase.
- ✓ The uncertainty due to volume adjustment is eliminated, and business predictability will improve.

Necessity to review Chemical Substance Management with strong toxicity

○ In recent years, in regards to the new chemical substances review, occasionally, there are chemical substances that pose a serious risk when released into the environment **due to strong toxicity to human health and the habitat of animals and plants**, while the emission is extremely small.

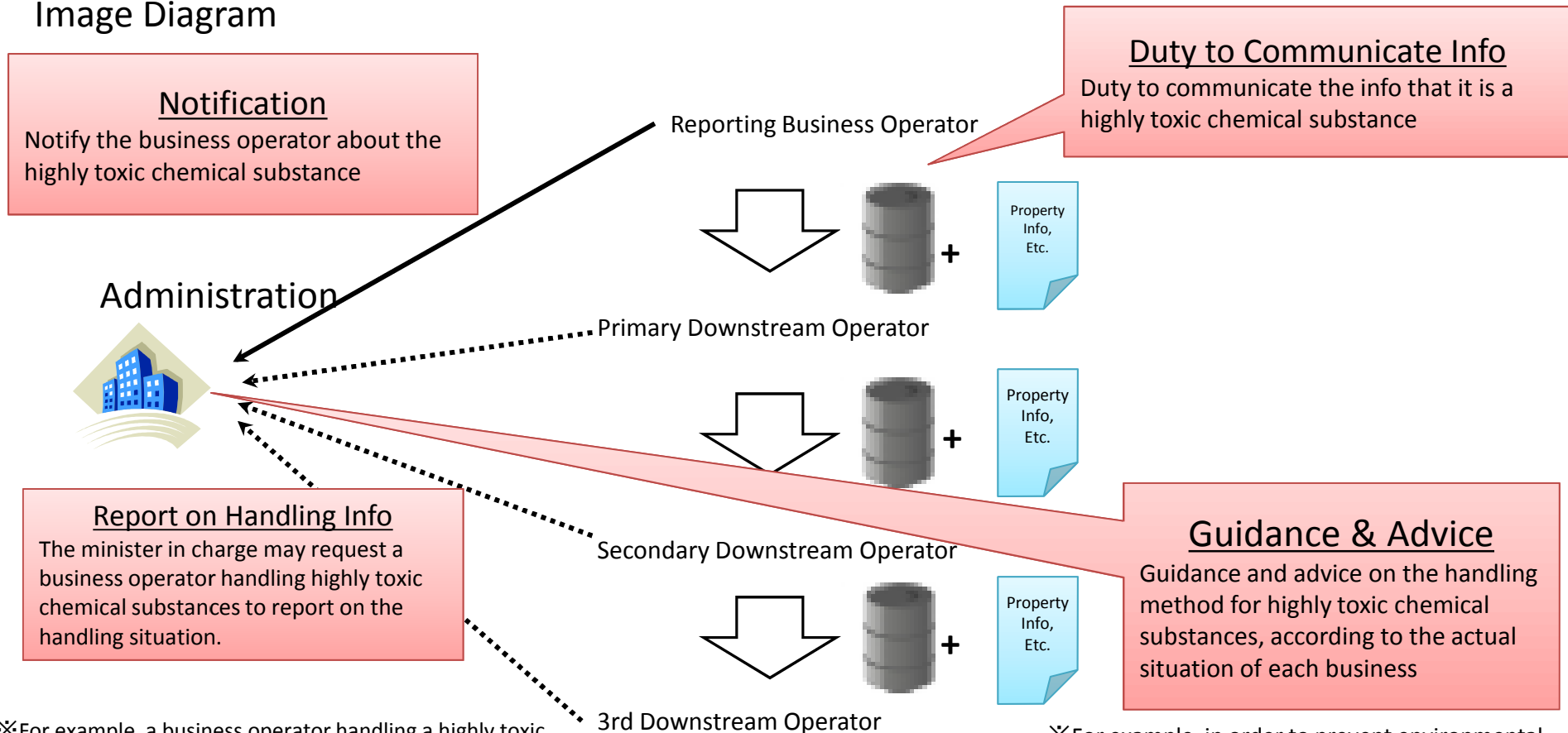
○ However, even with such chemical substances, if emission to the environment is small, it does not correspond to the Priority Assessment Chemical Substances to which certain regulatory measures are imposed, and as a general chemical substance, there is only a notification obligation for the manufacture/import volume, making it **impossible to take sufficient measures under the current CSCL**.

○ Therefore, it is necessary to take measures to encourage businesses to handle appropriate **chemical substances that are highly toxic** so that they are **not accidentally discharged**.

Proposed Review of Chemical Substances with Strong Toxicity

In order to call attention to businesses that deal with highly toxic chemical substances, we will establish jurisdiction, such as the obligation of business operators to communicate information, and national guidance and advice to business operators.

Image Diagram



※For example, a business operator handling a highly toxic chemical substance should keep records of the state of delivery and stock status of the chemical substance in advance, in the form of a document, for a certain period of time, so that it can respond when asked for reports.

※For example, in order to prevent environmental pollution, instruct and advise to provide information such as improvement measures for the management method along the supply chain.

Thank you for your attention!