

# **REACH**

***Chemical Safety Assessment  
(CSA)***

**Dinant Kroese**

**TNO Quality of Life  
Zeist, The Netherlands**

# CONTENTS

1. Registration requirements
2. Core tools under REACH
3. Procedures for Chemical Safety Assessment  
*Human Health*  
*Environmental Health*
4. Guidance and tools

# 1. Registration requirements:

1. A Technical Dossier  $\geq 1$  tonne/y
2. A Chemical Safety Report  $\geq 10$  tonnes/y

# Format of the Technical Dossier

---

- identity of the Manufacturer / Importer
- identity of the substance
- information on its manufacture and use
- the classification and labeling of the substance
- guidance on its safe use
- (robust) study summaries of the information on the intrinsic properties of the substance derived from applying *Annexes VII to XI*
- an indication as to whether the above issues and/or, if relevant, the Chemical Safety Report (→) has been reviewed by an assessor
- proposals for further testing, if relevant
- between 1 and 10 tonnes, the Technical Dossier shall also contain exposure related information for the substance (main use categories, type of uses, significant routes of exposure).

# Simplified format of the Chemical Safety Report

---

Part A Summary of risk management measures

Declaration that risk management measures are implemented

Declaration that risk management measures are communicated

Part B Identity of the substance and physical and chemical properties

Manufacture and uses

Classification and labelling

Environmental fate properties

Human health hazard assessment

Human health hazard assessment of physicochemical properties

Environmental hazard assessment

PBT and vPvB assessment

Exposure assessment

Risk characterization



**Chemical Safety Assessment**

## 2. Core tools under REACH

- The **Chemical Safety Assessment** is the tool used to *determine* the safety of the chemical
- The **Chemical Safety Report** is the tool used to *record/document* the assessment to EChA
- The **Safety Data Sheet** is the tool used to *communicate* safe use to downstream users (DU)

## Aim of the Chemical Safety Assessment:

To establish the safe conditions of manufacture and use of a substance for all life-cycle<sup>1</sup> stages.

Manufacturers/Importers/Downstream Users:

have to ensure that the manufacture and use is in such a way that human health and the environment are not adversely affected.

<sup>1</sup> on their own or in preparations or in articles

# Chemical Safety Assessment should describe:

## 1. The intrinsic properties of the substance

Human Health (Physico-chemical) hazards

Environmental Health hazards

PBT & vPvB properties

## 2. All manufacturing and use scenarios

PBT = Persistent, Bioaccumulating and Toxic,

vPvB = very Persistent, and very Bioaccumulating



Note:

**If**

the substance meets the criteria for classification as dangerous<sup>1</sup> or is assessed to be PBT or vPvB,

**then**

the Chemical Safety Assessment has to include an **exposure assessment** for one or more exposure scenario(s), exposure estimation and risk characterization.

<sup>1</sup> i.e. labeled with any R sentence

# Chemical Safety Assessment should describe:

## 1. The intrinsic properties of the substance

HH (PC) hazards

ENV hazards

PBT & vPvB properties

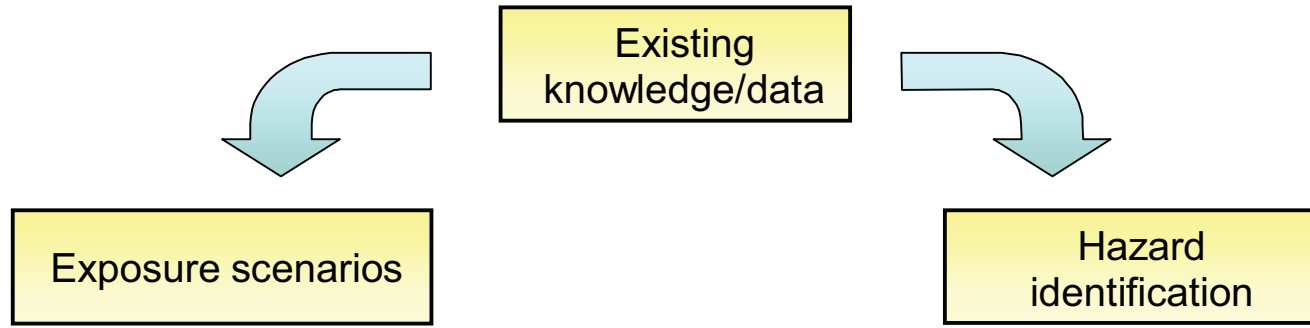
## 2. All manufacturing and use scenarios

## 3. Risk Characterisation:

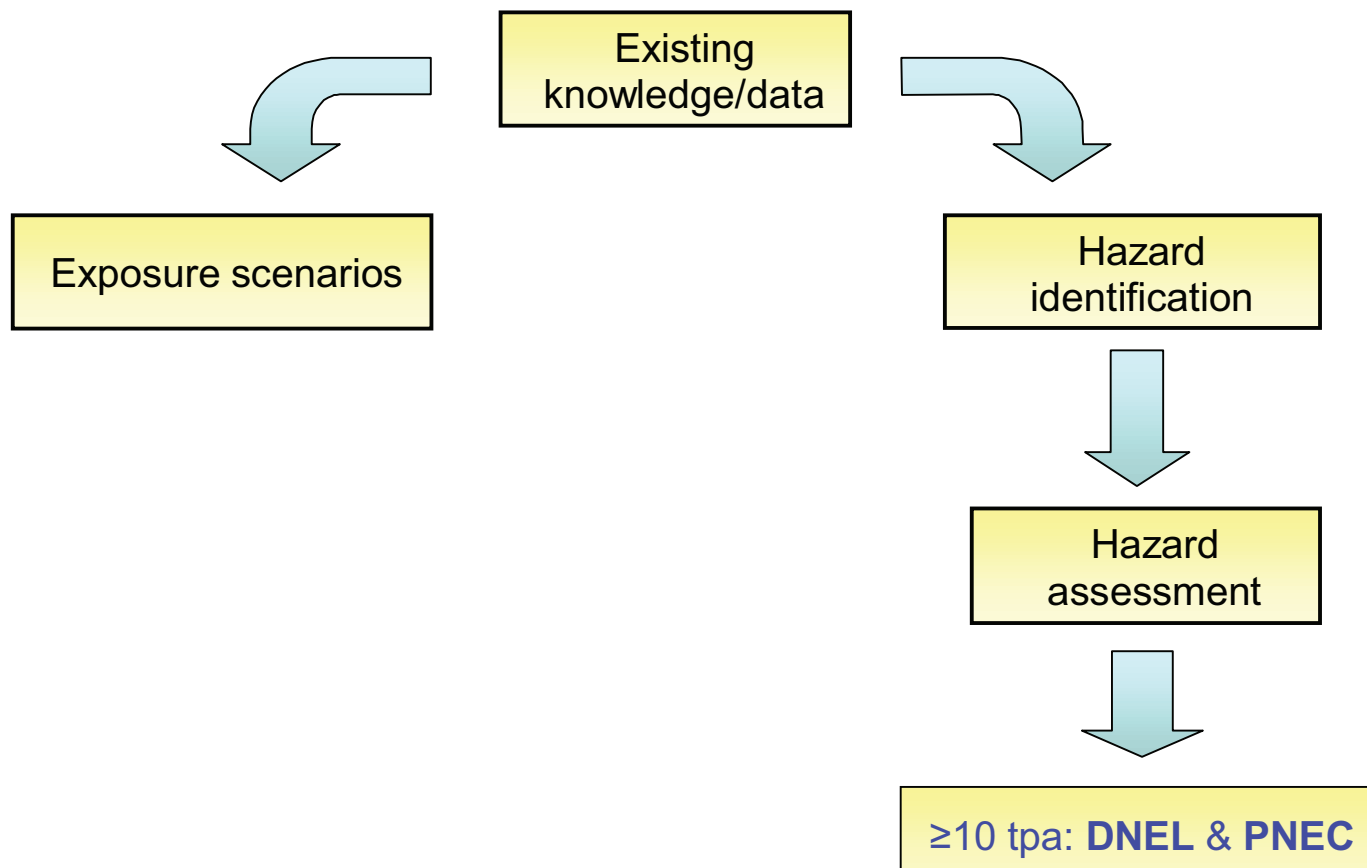
comparison of *ad 1.* with exposures of *ad 2.* (of scenarios, including RMM),

showing safe manufacture & safe use

CSA:

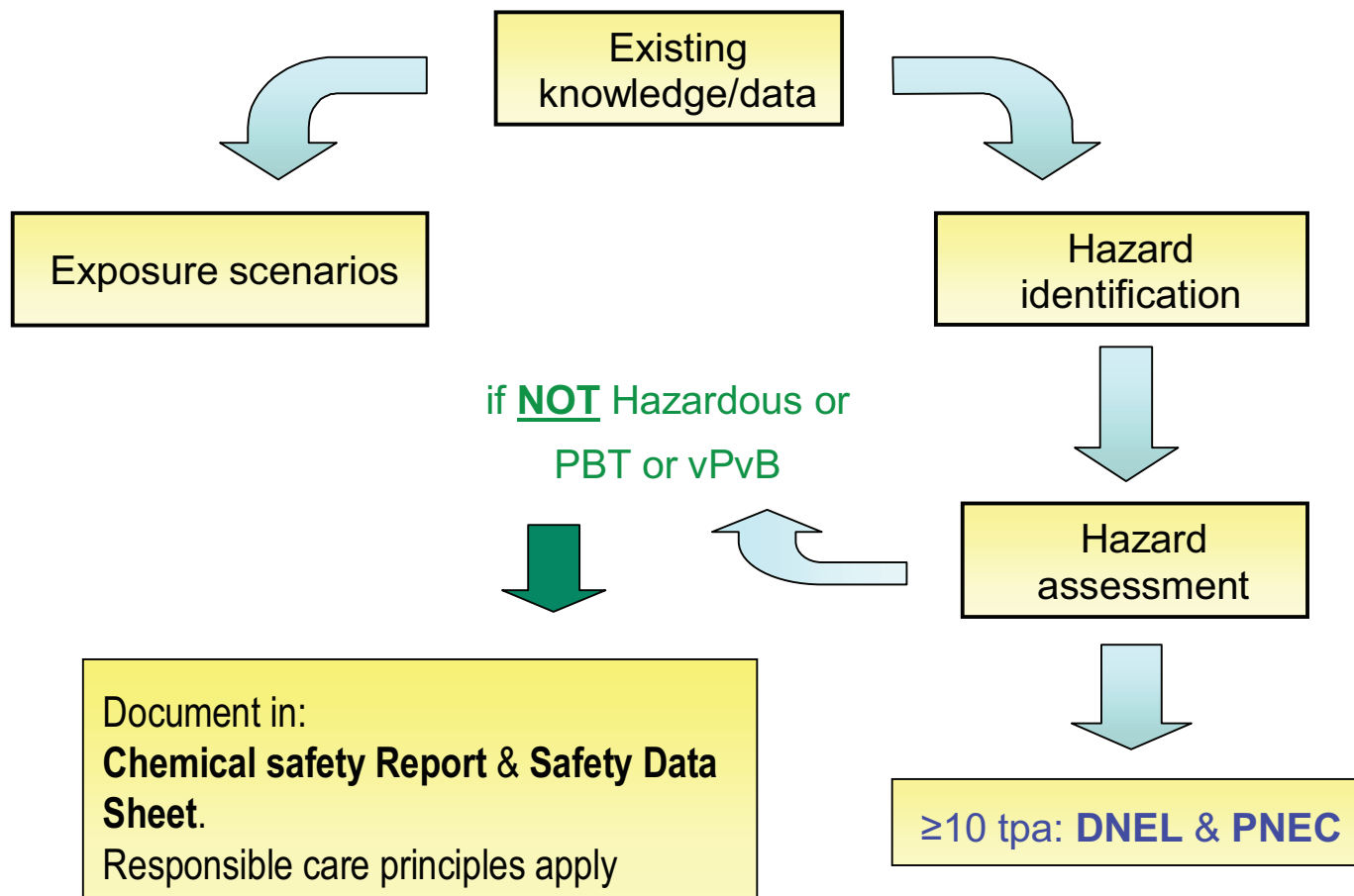


# CSA:

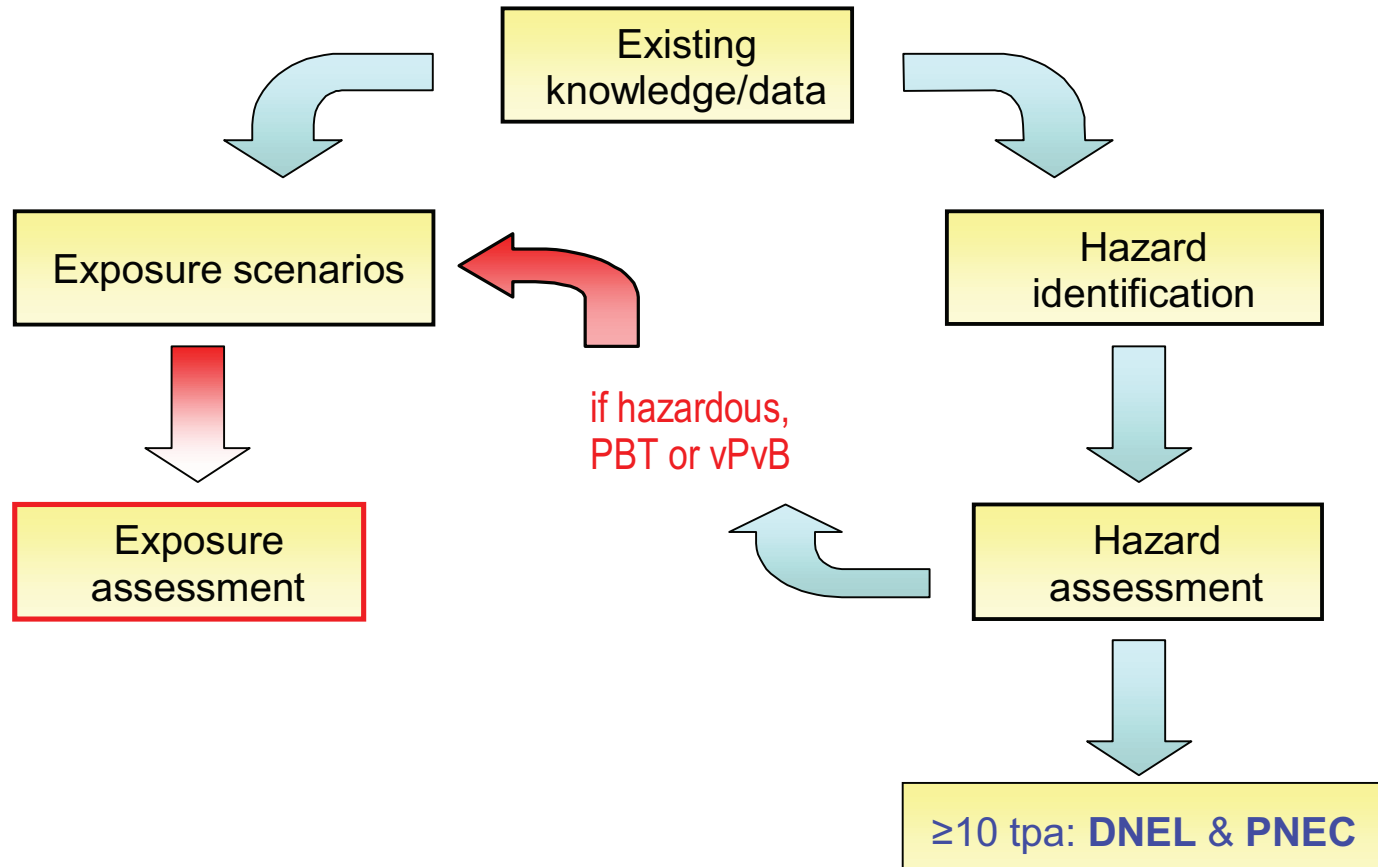


**DNEL:** Derived No Effect Level; **PNEC:** Predicted No Effect Concentration

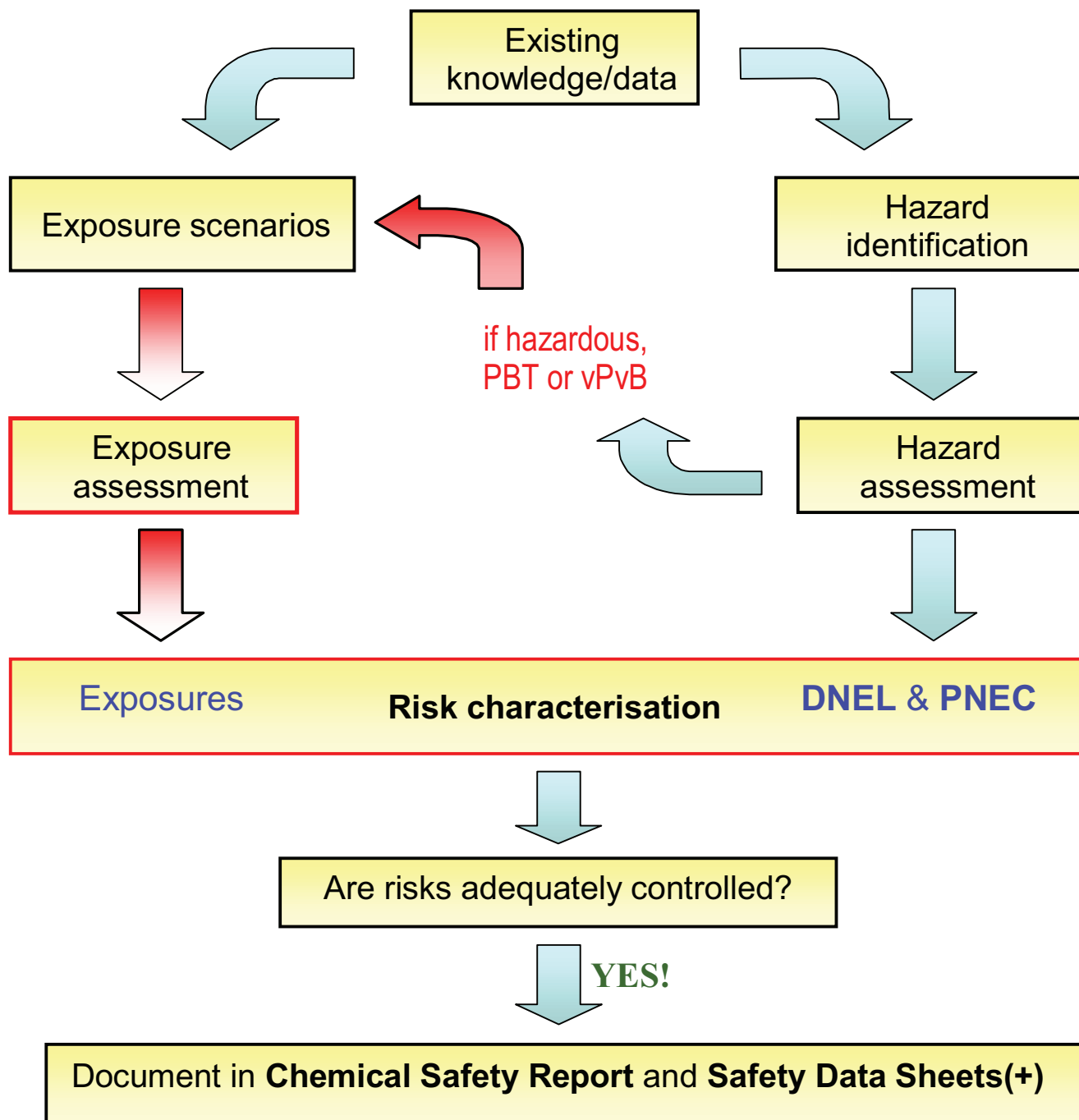
# CSA:



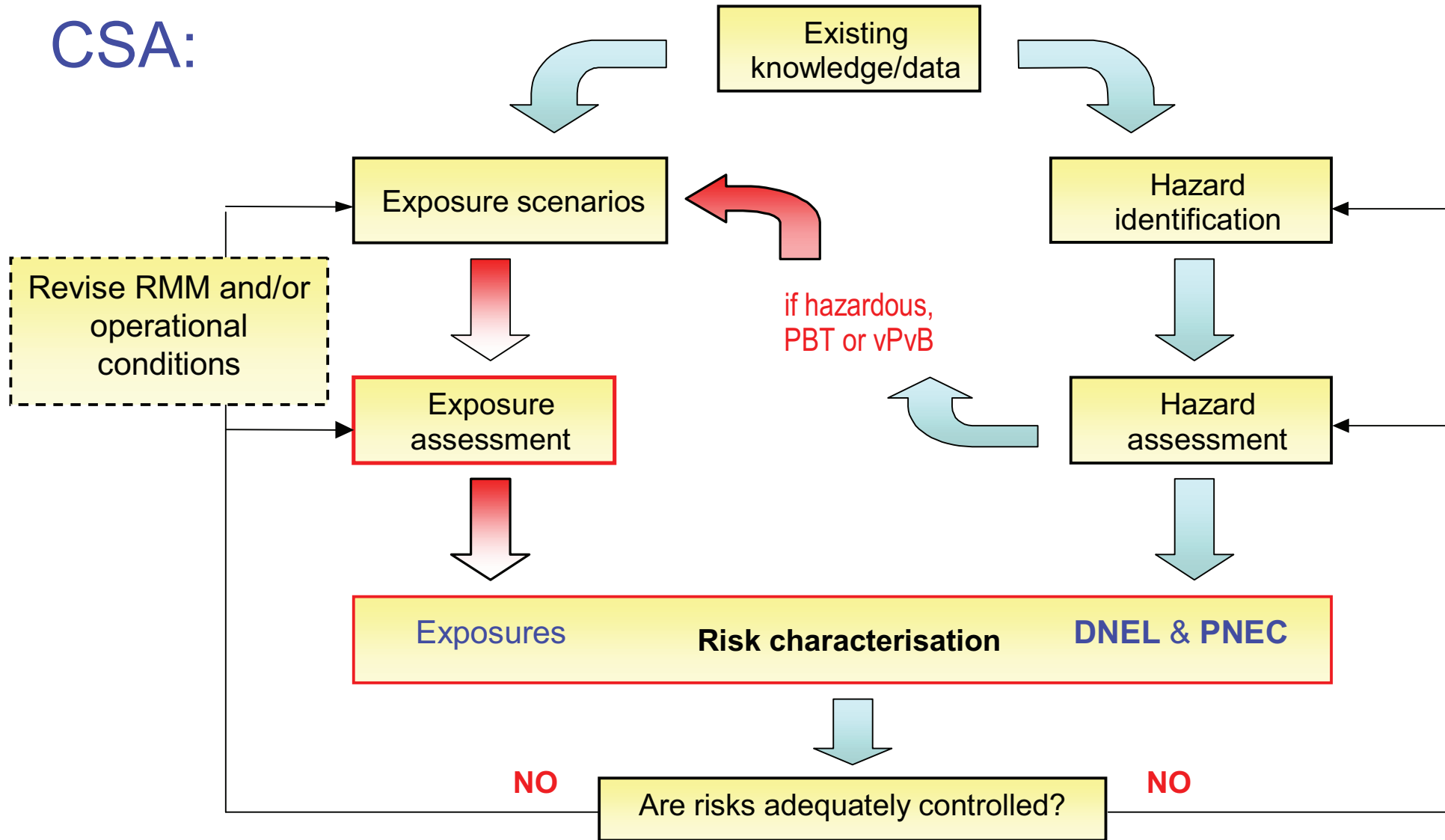
# CSA:



# CSA:

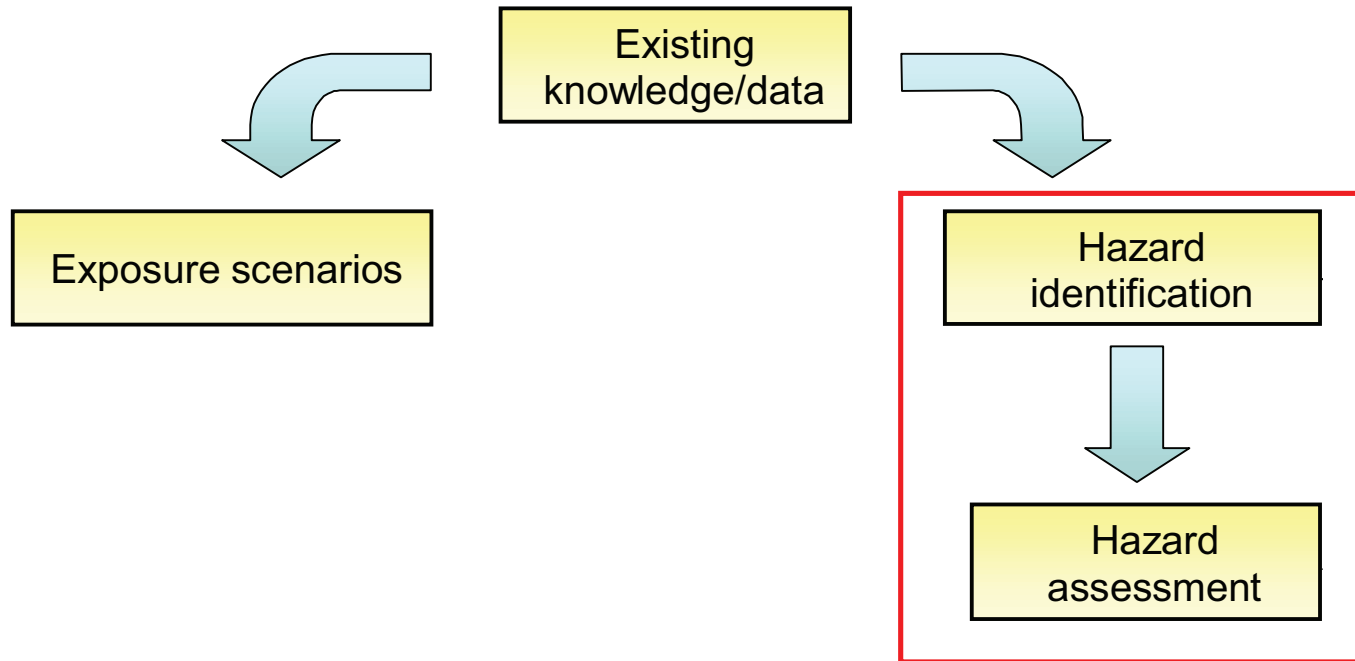


# CSA:





CSA:



# CSA / **describe** intrinsic properties of the substance

1. Human health hazard assessment
2. Human health hazard assessment of phys-chem properties
3. Environmental hazard assessment
4. PBT and vPvB assessment

# CSA / describe intrinsic properties

## Objectives:

1. Human health hazard assessment
  1. determine Classification & Labeling in accordance with 67/548/EEC
  2. derive Derived No Effect Level (DNEL)
2. Human health hazard assessment of phys-chem properties
3. Environmental hazard assessment
4. PBT and vPvB assessment

# CSA / describe intrinsic properties

## Objectives:

1. Human health hazard assessment
2. Human health hazard assessment of phys-chem properties
  1. determine Classification & Labeling in accordance with 67/548/EEC
3. Environmental hazard assessment
4. PBT and vPvB assessment

# CSA / describe intrinsic properties

## Objectives:

1. Human health hazard assessment
2. Human health hazard assessment of phys-chem properties
3. Environmental hazard assessment
  1. determine Classification & Labeling in accordance with 67/548/EEC
  2. derive Predicted No Effect Concentration (PNEC)
4. PBT and vPvB assessment

# CSA / describe intrinsic properties

## Objectives:

1. Human health hazard assessment
2. Human health hazard assessment of phys-chem properties
3. Environmental hazard assessment
4. PBT and vPvB assessment
  1. determine if criteria Annex XIII are fulfilled
  2. if yes: characterize emission potential

## CSA / **evaluate** intrinsic properties

1. Human health hazard assessment
2. Human health hazard assessment of phys-chem properties
3. Environmental hazard assessment
4. PBT and vPvB assessment

**Same basic approach for all 4 assessments:**



# CSA / evaluate intrinsic properties

(Annex VI)

1. Gather and share available information
2. Consider information needs
3. Identify information gaps
4. Generate new data / propose testing strategy



# CSA / evaluate intrinsic properties

## 1. Gather and share available information

### All Available Health & Environmental Information:

- physico-chemical data
  - human data
  - *in vitro* / *in vivo* data
  - read-across, SAR, QSAR
- &
- Exposure characteristics

## CSA / evaluate intrinsic properties

1. Gather and share available information
2. Consider information needs

- $\geq 1$  tpa : Annex VII
- $\geq 10$  tpa : Annex VIII:
- $\geq 100$  tpa : Annex IX:
- $\geq 1000$  tpa : Annex X:



Annex XI

## CSA / evaluate intrinsic properties

1. Gather and share available information
2. Consider information needs
3. Identify information gaps

Conclude on whether information is adequate to:

Assess:

Classification & Labeling,

PBT, vPvB

Allow the derivation of:

DNEL and PNEC

# CSA / evaluate intrinsic properties

1. Gather and share available information
2. Consider information needs
3. Identify information gaps
4. Generate new data / propose testing strategy

1 Conclude on what exactly is unclear or insufficient



2 Is testing technically possible?

Yes



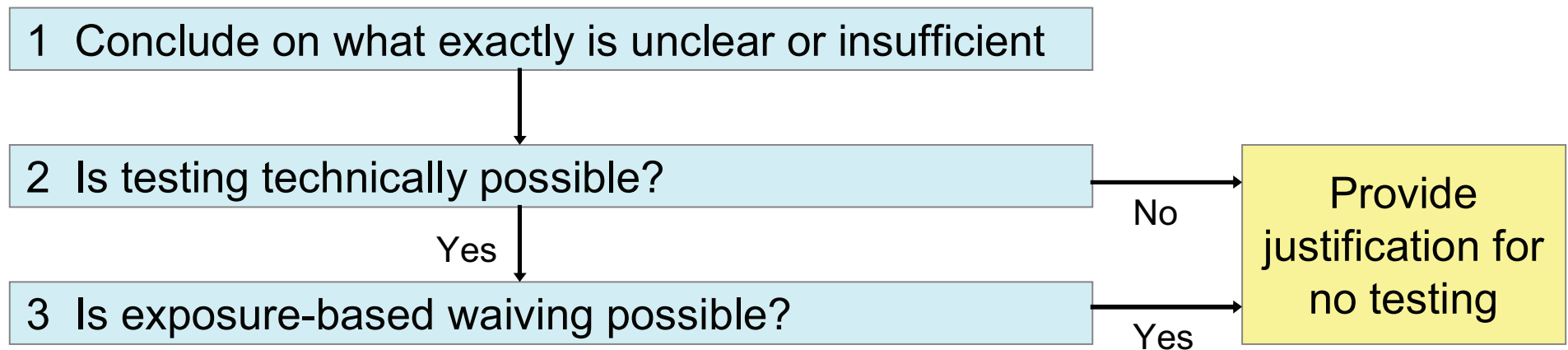
3 Is exposure-based waiving possible?

≥ 1 tpa: rules in Annex **column 2**

≥ 10 tpa: rules in Annex **column 2** and **Annex XI**

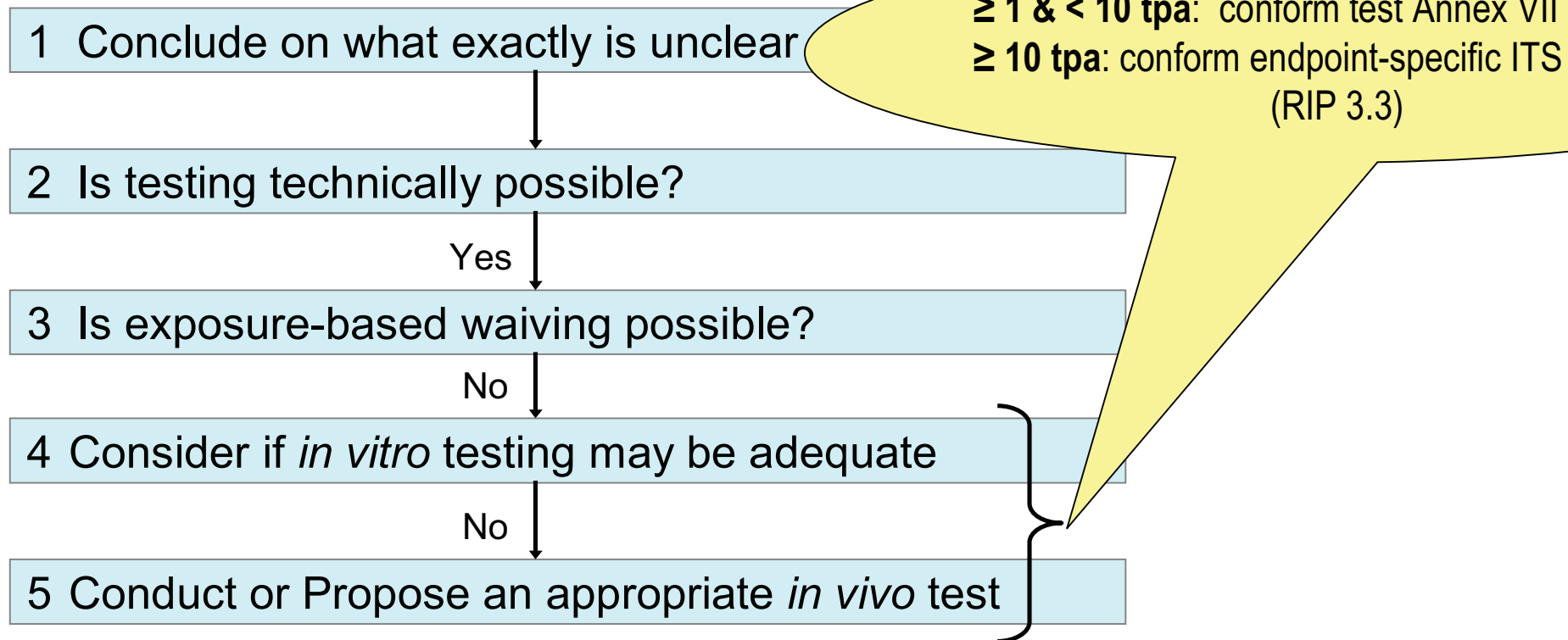
## CSA / evaluate intrinsic properties

1. Gather and share available information
2. Consider information needs
3. Identify information gaps
4. Generate new data / propose testing strategy



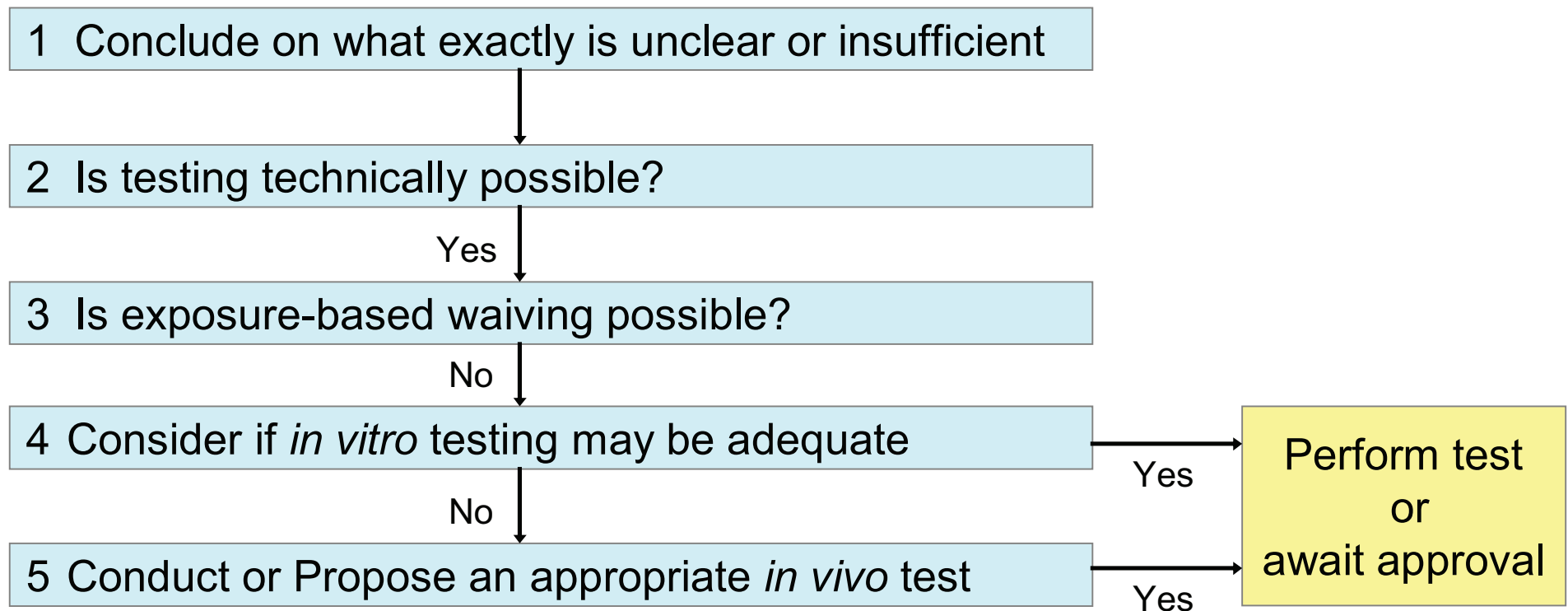
# CSA / evaluate intrinsic properties

1. Gather and share available information
2. Consider information needs
3. Identify information gaps
4. Generate new data / propose testing strategy



# CSA / evaluate intrinsic properties

1. Gather and share available information
2. Consider information needs
3. Identify information gaps
4. Generate new data / propose testing strategy



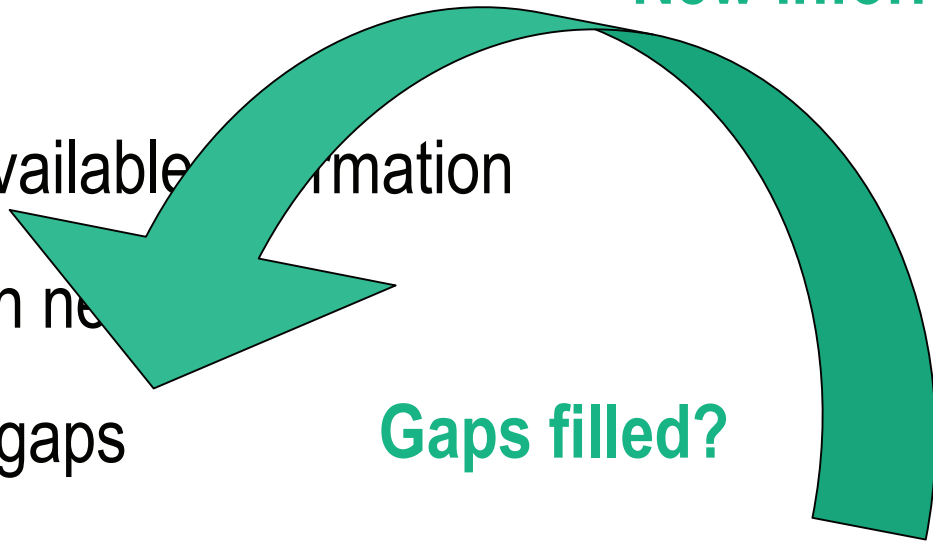
# CSA / evaluate intrinsic properties

(Annex VI)

New information

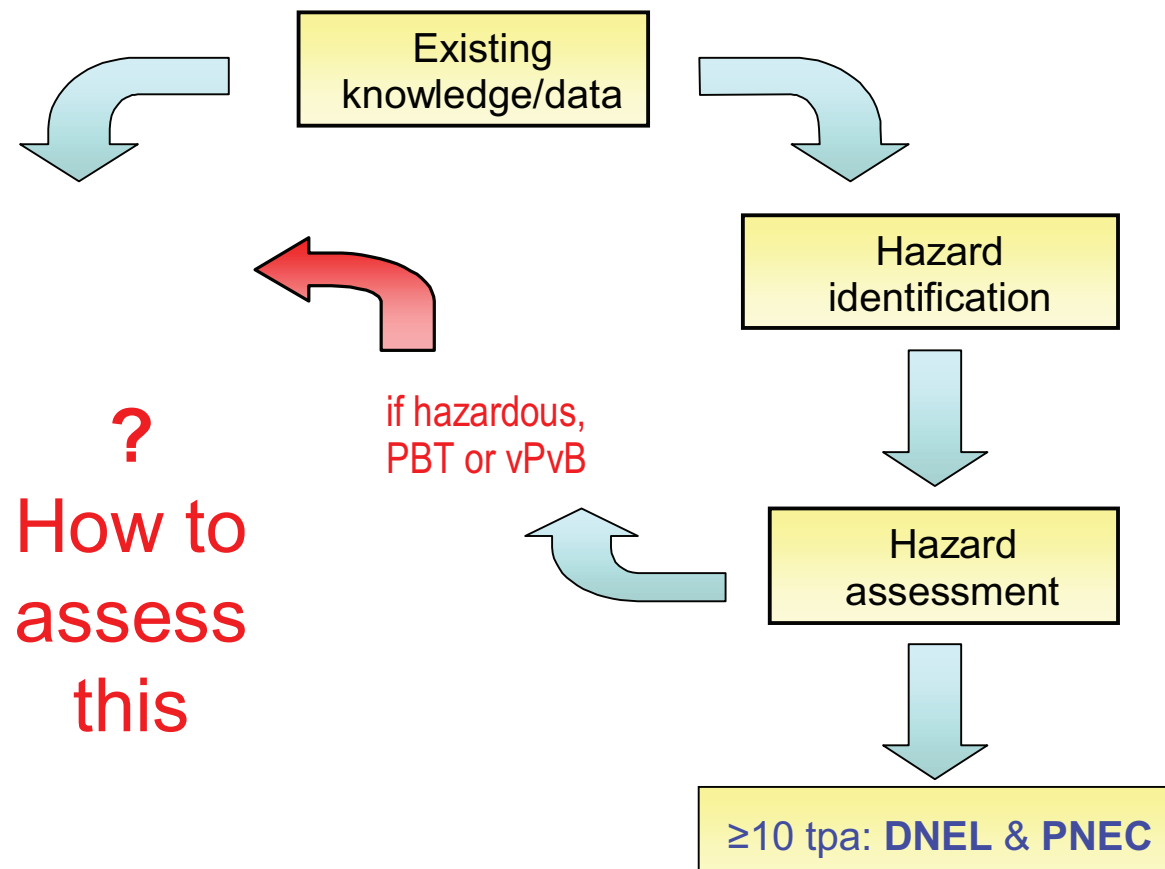
1. Gather and share available information
2. Consider information needs
3. Identify information gaps
4. Generate new data / propose testing strategy

Gaps filled?





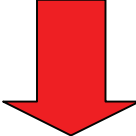
CSA:



# Hazardous?

Apply Classification & Labeling Criteria

in accordance with Dir 67/548/EEC

REACH  RIP 3.6 <sup>1</sup>

Globally Harmonised System

of Classification and Labeling of Chemicals

(GHS)

(reclassification deadline December 1<sup>st</sup> 2010)

<sup>1</sup> not yet started

## PBT or vPvB ?

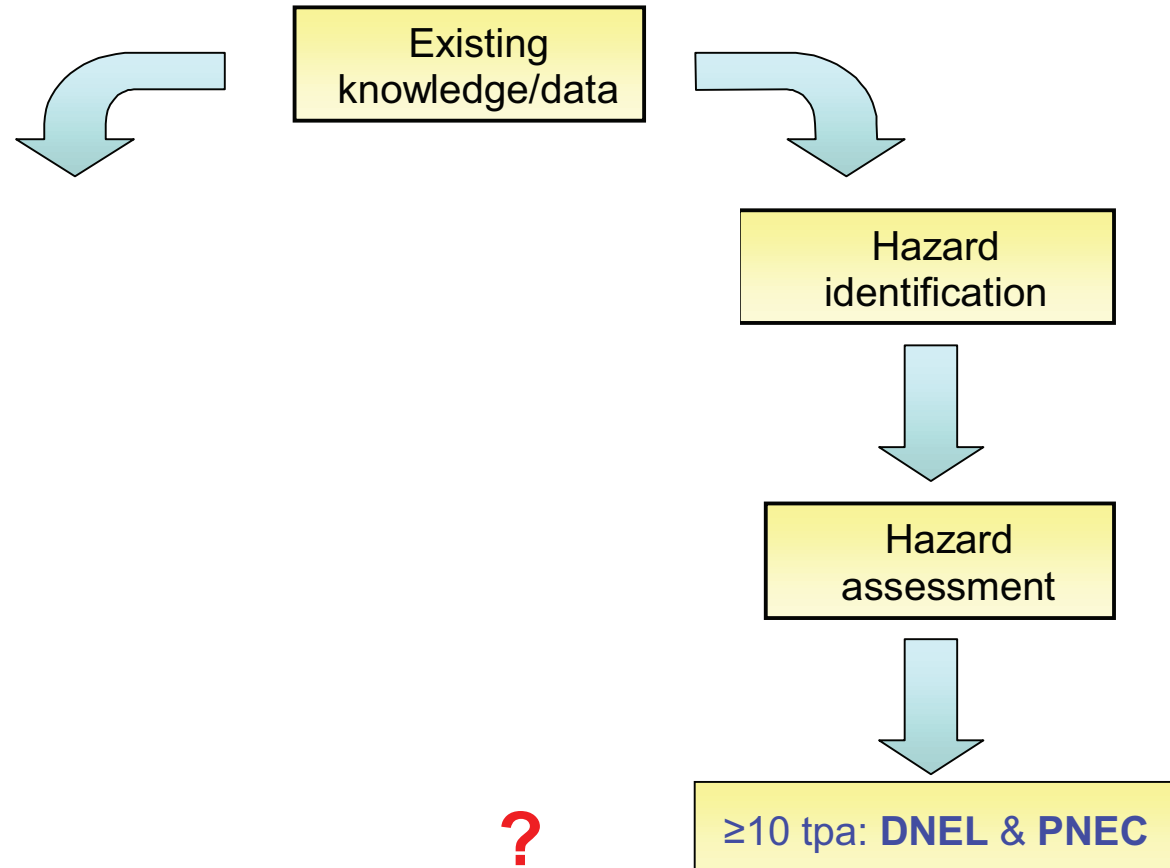
Apply assessment criteria:

1

Parameter	PBT criteria	vPvB criteria
<b>P</b>	Half-life: > 60 d in marine water, or > 40 d in fresh- or estuarine water, or > 180 d in marine sediment or > 120 d in fresh- or est. sediment, or > 120 d in soil	Half-life: > 60 d in marine, fresh- or estuarine water, or >180 d in marine, fresh- or estuarine sediment > 180 d in soil
<b>B</b>	BCF > 2000	BCF >5000
<b>T</b>	Chronic NOEC < 0.01 mg/l or <b>C</b> (cat. 1, 2) <b>M</b> (cat. 1, 2) <b>R</b> (cat. 1-3) or ED-effects T-R48 or Xn-R48	<i>Not applicable</i>

<sup>1</sup> developed by TGD RIP 3.2

CSA:



?  
How to  
assess  
these

# DNEL derivation

$$\text{DNEL} = \frac{\text{NOAEL}}{\text{Overall}^1\text{Assessment factor}} \quad (\text{lowest})$$

**NOAEL** (**No Observed Adverse Effect Level**) from Repeated Dose Toxicity studies (28-day, 90-day, 2-year) or Reproductive Toxicity studies

**Assessment Factor (AF)** are to address various uncertainties in extrapolation from animals to humans

---

		<i>value</i>
AF <sub>1</sub>	interspecies	'10'
AF <sub>2</sub>	intraspecies	5 ( <i>worker</i> ) 10 ( <i>general population</i> )
AF <sub>3</sub>	duration	2 to 6
AF <sub>n</sub>	dose-response (e.g. LOAEL only)	1 to about 3
AF <sub>m</sub>	database quality	≥1

<sup>1</sup> Overall Assessment Factor = AF1 • AF2 • AF3 • .....

# PNEC derivation (water)

$$\text{PNEC} = \frac{\text{L(E)C}_{50}}{\text{Assessment Factor}} \quad \text{or} \quad \frac{\text{NOEC}}{\text{AF}} \quad \text{or} \quad \frac{\text{HC}_5}{\text{AF}} \quad (\text{lowest})$$

**L(E)C<sub>50</sub>** (**Lethal Effect Concentration to 50% of population**) from appropriate studies

**NOEC** (**No Observed Effect Concentration**) from appropriate studies

## Assessment factor value

Short-term <b>L(E)C<sub>50</sub></b> from each of three trophic levels of the base-set (fish, daphnia, algae)	1000
Additional	
One long-term <b>NOEC</b> (either fish or Daphnia)	100
Two long-term <b>NOECs</b> from species representing two trophic levels (fish and/or Daphnia and/or algae)	50
Species sensitivity distribution: <b>HC<sub>5</sub></b>	5-1
Field data or model ecosystems	case by case

## 6. REACH guidance and tools

- RIP 1: Process description
- RIP 2: Development of REACH-IT
- RIP 3: Guidance documents for Industry
  - 3.1 TGD on preparing the Technical Dossier
  - 3.2 TGD on preparing the Chemical Safety Report<sup>1</sup>
  - 3.3 TGD on Information requirements on Intrinsic properties
  - 3.6 GD on Classification & Labeling under Global Harmonised System<sup>2</sup>
- RIP 4: Guidance documents for Authorities
- RIP 5/6: Setting up the Agency

<sup>1</sup> not yet finalized

<sup>2</sup> not yet started

Thank you for your attention!